DOCKET NO.: \$1459.70062US00

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant:

Hidehiko Sekizawa et al.

Serial No:

10/656,829

Confirmation. No.:

8415

Filed:

September 5, 2002

For:

POLARIZING EYEGLASS DEVICE

Examiner:

--

Art Unit:

2872

# **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 13<sup>714</sup> day of April, 2004.

udith M. Schultz

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- [X] Information Disclosure Statement
- [X] Copies of co-pending applications
- [X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 646-8000, Boston, Massachusetts.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted, Hidehiko Sekizawa et al., Applicants

 $\mathbf{R}\mathbf{v}$ 

Randy J. Pritzker, Reg. No.: 35,986

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617)646-8000

Date: April <u>4</u>, 2004

**xNDD**x

PE COOTE

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Bv:

Randy J. Pritzker, Reg. No.: 35,986

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617)646-8000

Date: April <u>6</u>, 2004 xNDDx



DOCKET NO: S1459.70062US00

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

SATO, Seiji

Serial No:

10/656,829

Confirmation No:

8415

Filed:

September 5, 2002

For:

POLARIZING EYEGLASS DEVICE

Examiner:

--

Art Unit:

2872

#### **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 13<sup>TH</sup> day of April, 2004.

Judith M. Schultz

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

#### PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

#### PART II: Compliance with 37 CFR §1.704(d)

The Applicant hereby makes the following information of record in the above-identified application.

The applicant would like to bring to the Examiner's attention the following copending applications that may contain subject matter related to this application:

Serial No.	Filing Date	Inventor(s)
10/656,828	September 5, 2003	Seiji Sato
10/656,843	September 5, 2003	Seiji Sato

#### PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted, Seiji Sato, Applicant

Bv:

Randy J. Pritzker, Reg. No. 35,986 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617) 646-8000

Docket No. S1459.70062US00

Date: April 4, 2004

**XNDDX** 

S/N 10/656, 843

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.	S1459.70061US00
First Name	ed Inventor or Application Identifier
SATO, Seiji	
Express Mail Label I	No. EV 292546435 US
Date of Deposit	September 5, 2003

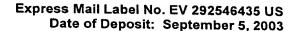
O AT THE WANT & THE PROPERTY OF THE PROPERTY O

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	ADDRESS TO:  Mail Stop Patent Appli Commissioner for Paten P.O. Box 1450 Alexandria, VA 22313-1	nts
Fee Transmittal Form     (Submit an original, and a duplicate for fee processing)	7.   CD-ROM or CD-R, in duplicate table or Computer Program (Ap	, large opendix)
<ol> <li>Applicant claims small entity status. See 37 CFR 1.27.</li> <li>Specification [Total pages 78]</li> <li>pages description</li> </ol>	8. U Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. U Computer Readable Form (CRF)  b. U Specification Sequence Listing on:  i. U CD-ROM or CD-R (2 copies); or	
1 - pages abstract	ii. D paper (identical to comp	
11 - pages claims 42 - Total claims	c.   Statement verifying identity	• • •
4. 🗵 Drawing(s) (35 USC 113) [Total sheets 22]	ACCOMPANYING APPLICATION PARTS	
☐ Informal ☑ Formal [Total drawings 22]  5. ☐ Oath or Declaration ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐	Assignment Papers/cover shee documents(s)	et &
<ul> <li>5. □ Oath or Declaration [Total pages ]</li> <li>a. □ Newly executed (original or copy)</li> <li>b. □ Copy from a prior application</li> <li>i. □ DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul>	10. □ 37 CFR 3.73(b) Statement (when there is an assigne □ Power of Attorney  11. □ English Translation of Document  12. □ Information Disclosure Statement	nt (if applicable)
If 5b is checked the entire disclosure of prior applications,	☐ Copies of IDS Citations  13. ☐ Preliminary Amendment	
from which an oath or declaration is supplied, is considered as part of the disclosure of the accompanying application as is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.	<ul> <li>14. ☑ Return Receipt Postcard (MPE (Should be specifically itemized)</li> <li>15. ☑ Certified Copy of Priority Docur (if foreign priority is claimed)</li> <li>16. ☐ Request and Certification Under §122(b)(2)(B))(ii)</li> <li>17. ☐ Other:</li> </ul>	ment(s)
6.  Application Data Sheet, See 37 CFR 1.76		

17a. PURSUANT TO 35 U.S.C. §119, APPLICANT HEREBY CLAIMS PRIORITY TO JAPANESE PATENT APPLICATION NO. JP2002-261484, FILED SEPTEMBER 6, 2002 AND JAPANESE PATENT APPLICATION NO. JP2002-313655, FILED OCTOBER 29, 2002.

18. NOTE TO PRACTITIONERS: If a CONTINUING APPLICATION, supply the requisite priority or continuity information in (1) the body of the application, or in a preliminary amendment, and (2) in an Application Data Sheet under 37 CFR 1.76.

19. CORRESPONDENCE ADDRESS		
Correspondence address below		
CUSTOMER NUMBER: 23628		
20. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED		
NAME	Randy J. Pritzker, Reg. No. 35,986	
SIGNATURE	Candy J. Potter	
DATE	7-5-03	





# APPLICATION DATA SHEET FORM

#### **Inventor Information**

Inventor One Given Name::

Family Name::

Postal Address Line One::

City::

Country::

City of Residence::
Country of Residence::

Citizenship Country::

Inventor Two Given Name::

Family Name::

Postal Address Line One::

City::

Country::
City of Residence::
Country of Residence::

Citizenship Country::

Seiji

Sato

7-35, Kitashinagawa 6-Chome

Shinagawa-ku, Tokyo

Japan

Kanagawa Japan

Japan

Hidehiko

Sekizawa

7-35, Kitashinagawa 6-Chome

Shinagawa-ku, Tokyo

Japan

Tokyo Japan

Japan

# **Correspondence Information**

Name Line One::

Name Line Two::

Address Line One:

City::

State or Province::

Country::

Postal or Zip Code::

Telephone One:: Telephone Two::

Fax Number: Electronic Mail::

Randy J. Pritzker

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston

MA U.S.A.

02210

(617) 720-3500 (617) 573-7847

(617) 720-2441

rpritzker@wolfgreenfield.com

# Application Data Sheet Form

## **Application Information**

Title Line One::

THREE-DIMENSIONAL IMAGE DISPLAY DEVICE, POLARIZATION MEANS POSITION HOLDING MECHANISM, AND POLARIZATION MEANS

**Total Drawing Sheets::** 

22

Formal Drawings?::

Yes

Claims::

42

Application Type::

Utility

Docket Number::

S1459.70061US00

Licensed US Govt. Agency::

Contract or Grant Numbers One:: Secrecy Order in Patent Appl.?::

# Representative Information

Representative Customer Number::

23628

# **Continuity Information**

This application is a::

>Application One::

Filing Date::

Patent Number::

which is a::

>>Application Two::

Filing Date::

Patent Number::

## **Prior Foreign Applications**

Foreign Application One::

JP2002-261484

Filing Date::

September 6, 2002

Country::

Japan

Priority Claimed::

Yes

# Application Data Sheet Form

Foreign Application Two::

JP2002-313655

Filing Date::

October 29, 2002

Country::

Japan

Priority Claimed::

Yes

# **Assignee Information:**

Assignee name:: Street of mailing address:: City of mailing address::

Sony Corporation 7-35, Kitashinagawa 6-Chome Shinagawa-ku, Tokyo, Japan